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# **Original Article**

# Favorable Immunotherapy Plus Tyrosine Kinase Inhibition Outcome of Renal Cell Carcinoma Patients with Low CDK5 Expression

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**Purpose** Immunotherapy (IO) plus tyrosine kinase inhibitor (TKI) has become the first-line treatment for advanced renal cell carcinoma, despite the lack of prognostic biomarkers. Cyclin-dependent kinase 5 (CDK5) affects the tumor microenvironment, which may influence the efficacy of TKI+IO.

**Materials and Methods** Two cohorts from our center (Zhongshan Metastatic Renal Cell Carcinoma [ZS-MRCC] cohort, Zhongshan High-risk Localized Renal Cell Carcinoma [ZS-HRRCC] cohort) and one cohort from a clinical trial (JAVELIN-101) were enrolled. The expression of CDK5 of each sample was determined by RNA sequencing. Immune infiltration and T cell function were evaluated by flow cytometry and immunohistochemistry. Response and progression-free survival (PFS) were set as primary endpoints.

**Results** Patients of low CDK5 expression showed higher objective response rate (60.0% vs. 23.3%) and longer PFS in both cohorts (ZS-MRCC cohort, p=0.014; JAVELIN-101 cohort, p=0.040). CDK5 expression was enhanced in non-responders (p < 0.05). In the ZS-MRCC cohort, CDK5 was associated with decreased tumor-infiltrating CD8<sup>+</sup> T cells, which was proved by immunohistochemistry (p < 0.05) and flow cytometry (Spearman's p=-0.49, p < 0.001). In the high CDK5 subgroup, CD8<sup>+</sup> T cells revealed a dysfunction phenotype with decreased granzyme B, and more regulatory T cells were identified. A predictive score was further constructed by random forest, involving CDK5 and T cell exhaustion features. The RFscore was also validated in both cohorts. By utilizing the model, more patients might be distinguished from the overall cohort. Additionally, only in the low RFscore did TKI+IO outperform TKI monotherapy.

**Conclusion** High-CDK5 expression was associated with immunosuppression and TKI+IO resistance. RFscore based on CDK5 may be utilized as a biomarker to determine the optimal treatment strategy.

Key words Renal cell carcinoma, CDK5, Immune checkpoint inhibition plus tyrosine kinase inhibition, T-cell exhaustion, T cell dysfunction

# Introduction

Renal cell carcinoma (RCC) is diagnosed annually in around 430,000 cases worldwide, resulting in approximately 179,000 deaths [1]. Approximately 35% of patients were initially diagnosed with advanced or metastatic renal cell carcinoma (mRCC), while the remaining 65% of patients with localized disease. However, 30% of localized RCC patients will eventually relapse [2]. In the past decade, significant breakthroughs have been made in the treatment of mRCC. Recently, clinical trials of immune checkpoint inhibitor plus vascular endothelial growth factor receptor–tyrosine kinase inhibitor (TKI) for mRCC have exhibited outstanding efficacies [3-5]. Consequently, the European Association of Urology (EAU) Guideline of RCC suggests three TKI+immunotherapy (IO) therapies as standard first-line therapy for mRCC [6]. However, the objective response rate of TKI+IO therapy indicated a poor prognosis for a substantial number of patients. This study aimed to discover prognostic biomarkers for IO/ TKI benefit according to multi-omics data of RCC.

Based on published reports, cyclin-dependent kinases (CDKs) participate not only in the cell cycle but also in other essential cellular processes, including gene transcription, insulin secretion, glycogen synthesis, and neuronal functions [7], resulting in tumor development and progression. Hence, we conducted the Kaplan-Meier analysis to screen the CDKs family in both our ZS-MRCC cohort and the TKI+IO subgroup of the JAVELIN Renal 101 cohort (S1 Table). As a

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Copyright © 2023 by the Korean Cancer Association 1321 @ This is an Open-Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited. result, we initially picked up the CDK5 as a candidate prognostic factor. The proline-directed serine/threonine kinase CDK5 is known as a regulator of neuron function. CDK5 was acting as an independent promising biomarker in lung adenocarcinoma [8], and colorectal cancer patients [9]. Further investigation proved overexpression of CDK5 correlates with poor prognosis, tumor proliferation, migration, and invasion in a range of cancer types, thus playing a vital role in cancer development [10,11]. In recent years, it has been discovered that CDK5 has a remarkable role in cancer immunity. CDK5 activity is essential for interferon  $\gamma$  (IFNG)–mediated cancer immunoevasion [12]. Some studies discovered that the increase of interferon regulatory factor-2 (IRF2) and IRF2-binding protein 2 (IRF2BP2) results in decreased programmed death-ligand 1 (PD-L1) expression on tumor cells in the absence of CDK5 (IRF2BP2). Attenuation of CDK5 expression in a mouse model of medulloblastoma results in robust CD4<sup>+</sup> T cell-mediated tumor rejection [13]. CRISPR-Cas9 genome editing system of CDK5 downregulates PD-L1 expression on tumor cells and elicits potent CD8<sup>+</sup> T cellmediated immune responses in the tumor microenvironment (TME) with reduced regulatory T cells (Tregs) [14]. Moreo-



**Fig. 1.** Cyclin-dependent kinase 5 (CDK5) related with resistance to tyrosine kinase inhibitor (TKI) plus immunotherapy (IO) combination therapy in renal cell carcinoma (RCC). (A) Expression of CDK5 in RCC and peritumor tissues. p-values, Kruskal-Wallis H test. (B, C) Association between CDK5 and TNM stage/International Society of Urological Pathology (ISUP) grade in RCC. p-values, Kruskal-Wallis H test. (D) Expression of CDK5 between responders and non-responders of TKI+IO combination therapy in the Zhongshan Metastatic Renal Cell Carcinoma (ZS-MRCC) cohort. p-values, Kruskal-Wallis H test. (E, F) Therapeutic response (E) and representative chest computed tomography (F) according to CDK5 in the ZS-MRCC cohort under TKI+IO combination therapy. CR, complete response; PD, progressive disease; PR, partial response; SD, stable disease. (*Continued to the next page*)



**Fig. 1.** (*Continued from the previous page*) (G) Tumor best percentage change from baseline and CDK5 expression in our ZS-MRCC cohort of TKI+IO combination therapy. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001; ns, not significant.

ver, CDK5 inhibition increases the level of FBXO22, which leads to the ubiquitination and degradation of PD-L1, hence weakening the immunotherapy sensitivity [15].

In this study, we evaluated CDK5 expression levels of renal carcinoma samples based on RNA-sequencing (RNA-seq) data. The prognostic value CDK5 was assessed for IO/TKI therapy, as well as its correlation with tumor microenvironment components, especially with CD8<sup>+</sup> T cells.

# Materials and Methods

# 1. Study cohorts and data collection

# (1) ZS-HRRCC cohort

Forty-three patients with high-risk localized RCC were enrolled who underwent radical nephrectomy at Zhongshan Hospital, Fudan University from January 2020 to December 2021. Three patients were excluded because of the unavailability of tissue samples or failure to meet sample quality control standards, clinical.

# (2) ZS-MRCC cohort

Totally, 51 MRCC patients with TKI+IO combination therapy were enrolled from January 2017 to December 2020. Six patients were excluded due to the unavailability of tissue samples or loss of follow-up. Therapeutic response and disease progression were defined by the Response Evaluation Criteria in Solid Tumor (RECIST) ver. 1.1 criteria [16]. Detailed inclusion and exclusion criteria, clinical, pathologic information, treatment response, and survival information of 45 patients were listed in the previous study [17,18].

#### (3) JAVELIN-101

The JAVELIN-101 cohort was disclosed by a clinical trial, enrolling 726 metastatic advanced RCC patients treated by either TKI+IO (avelumab+axitinib, n=354) or TKI monotherapy (sunitinib, n=372) [3].

The Cancer Genome Atlas (TCGA) project enrolled 530 clear cell RCC patients in the The Cancer Genome Atlas Kidney Renal Clear Cell Carcinoma (TCGA-KIRC) cohort (https://xena.ucsc.edu/) [19].

# 2. RNA-seq and data processing

The MagBeads Total RNA Extraction Kit (MAJORIVD) was used to isolate total RNA. Shanghai Biotechnology Corp. (Shanghai, China) was responsible for the library construction and sequencing. VAHTS Universal V6 RNA-seq Library Prep Kit for Illumina (Vazyme, Nanjing, China) was used for RNA library preparation and NovaSeq 6000 equipment (Illumina, Carlsbad, CA) for sequencing. Sequencing data was further standardized to both fragments per kilobase of transcripts per million mapped reads and read count values.

# 3. Hematoxylin and eosin staining and immunohistochemistry

Detailed primary antibodies for immunohistochemistry (IHC) were described in the previous study [17]. IHC was performed as described before [20]. Slides were scanned with PANNORAMIC 250 Flash III DX (3DHISTECH Ltd., Budapest, Hungary). The densities of targeted cells were calculated as the mean number of cells/mm<sup>2</sup>. Three researchers were asked to execute the quantification on six randomized fields.

### 4. Flow cytometry

For surface staining, after blocking Fc receptors, single-cell suspensions and white blood cells were stained with fluorescently labeled membrane marker antibodies for 30 minutes at 4°C. For intracellular staining, proteins were stained with antibodies in Intracellular Fixation & Permeabilization Buffer (Thermo Fisher Scientific, Waltham, MA). Detailed primary antibodies for flow cytometry were used as described before [17]. Flowjo v10.0 was used to analyze BD LSRFortessaTM X-20 (BD Bio-sciences, San Jose, CA) fluorescence-activated cell sorting data (Tree Star, Ashland, OR).

#### 5. In silico approaches

All analysis approaches were performed by R software (https://www.r-project.org/). Cox and Kaplan-Meier analyses were performed by "survival" and "survminer" packages. Random forest model construction was performed by "randomForestSRC" and "ggRandomForests" packages. For volcano plot, the normalized enrichment score cutoff was 1.5.



**Fig. 2.** Cyclin-dependent kinase 5 (CDK5) related with prognosis of tyrosine kinase inhibitor (TKI) plus immunotherapy (IO) combination therapy in renal cell carcinoma. (A) Univariate and multivariate Cox regression model was used to calculate hazard ratio (HR) and 95% confidence interval (CI). HR < 1 indicates better survival. The cutoff of CDK5 expression was 33%. (B, C) Progression-free survival after TKI+IO therapy according to CDK5 in the ZS-MRCC cohort (B) and TKI+IO subgroup of JAVELIN 101 cohort (C). cc, clear cell; IMDC, International Metastatic RCC Database Consortium; ZS-MRCC, Zhongshan Metastatic Renal Cell Carcinoma. (*Continued to the next page*)

#### 6. Statistical analysis

The Kruskal-Wallis H test was used to compare continuous variables between groups. The categorical variables were used for the chi-square test. Spearman's correlation analysis was utilized for quantitative correlation analysis. The cutoff of CDKs family was set at 50%. The cutoff of CDK5 was optimized and set at 33%. All data processing was performed on the R software platform (R Foundation for Statistical Computing, Vienna, Austria).

# Results

# 1. CDK5 expression associated with response and prognosis of TKI+IO therapy

The prognostic significance of CDKs families in S1 Table. The latest RCC guidelines recommend TKI+IO combination therapy as the conventional first-line therapy for mRCC patients. However, our ZS-MRCC group showed that mRCC patients exhibited a variety of therapeutic effects (Fig. 1D, E and G). Transcriptomic data proved that expression of CDK5 was enhanced in RCC tissues relative to non-tumorous tissues (TCGA cohort) (p < 0.001) (Fig. 1A). However, we found



**Fig. 2.** (*Continued from the previous page*) TKI subgroup of JAVE-LIN 101 cohort (D). Progression-free survival after TKI+IO or TKI therapy in high-CDK5 (E) and low-CDK5 subgroup (F) of JAVELIN 101 cohort. p-value, Kaplan-Meier analysis, and logrank test.

no significantly different progression-free survival (PFS) between CDK5-low and CDK5-high TxNxM1 RCC patients in the TCGA cohort (data not shown). This may be owing to

the fact that their cohorts include patients at all stages and the treatment was different from what it is today. Thus, we assessed the expression of CDK5 in various RCC stages and grades. CDK5 was associated with advanced TNM stage (stage IV) and International Society of Urological Pathology grade in RCC (G4) (Fig. 1B and C). Responders to TKI+IO revealed considerably decreased CDK5 expression (p < 0.05) (Fig. 1D). Meanwhile, the number of patients from low CDK5 expression subgroup responses to TKI+IO were considerably elevated (Fig. 1F and G). Patients with a low CKD5 expression in our ZS-MRCC cohort had a longer PFS (p=0.014) (Fig. 2B) than those with a high CDK5 expression, which was verified in JAVELIN 101 cohort (p=0.040) (Fig. 2C). The cutoff was optimized and set at 33%. Further Kaplan-Meier analysis revealed that patients with a higher CDK5 expression had a worse progression-free survival than those with a lower CDK5 expression, only in the TKI+IO group of the JAVELIN Renal 101 cohort (p=0.04) (Fig. 2C) and in our ZS-MRCC cohort (p=0.014) (Fig. 2B), but not in the TKI monotherapy groups (Fig. 2D). Nevertheless, CDK5 was not predictive factor, as TKI+IO demonstrated better outcome to TKI monotherapy in patients with both high and low CDK5 levels (Fig. 2E and F). Subsequently, both univariate and multivariate Cox regression analysis was performed. Clinical and pathological parameters, including age, sex, histology, International Metastatic RCC Database Consortium (IMDC) group, along with CDK5 expression were incorporated into the Cox regression model. Consequently, we found that CDK5 expression indicated poor prognosis independent of the above clinical and pathological parameters based on PFS (univariate: hazard ratio [HR], 3.199; 95% confidence interval [CI], 1.195 to 8.564; p=0.021; multivariate: HR, 2.841; 95% CI, 1.037 to 7.785; p=0.042) (Fig. 2A). Collectively, these results indicated that the expression of CDK5 could serve as an independent adverse prognosticator for patients with TKI+IO treatment.

#### 2. Association between CDK5 and TME components

By hematoxylin and eosin and immunohistochemistry, we identified markers of TME components, including effector cells, regulatory cells, regulatory chemicals, angiogenesis, Ki-67, and polybromo 1 (PBRM1) in our ZS-HRRCC cohort (Fig. 3A). Tumor infiltrating lymphocytes (TILs) did not differ significantly between low and high CDK5 expression groups (Fig. 3B), nor were TILs linked with CDK5 as determined by spearman analysis (data not shown). The number of CD8<sup>+</sup> T cells was remarkably decreased in patients with high CDK5 levels (p < 0.05) (Fig. 3C), and was inversely correlated with the expression of CDK5 (Spearman's  $\rho$ =–0.45, p < 0.001, data not shown). Unexpectedly, CD4<sup>+</sup> T cells (p=0.720) showed exact opposite trends, compared with CD8<sup>+</sup> T cells



**Fig. 3.** Relationship between cyclin-dependent kinase 5 (CDK5) and tumor microenvironment in renal cell carcinoma. (A) Heatmap displaying tumor microenvironment components ranked by CDK5 in the Zhongshan High-risk Localized Renal Cell Carcinoma (ZS-HRRCC) cohort. (B-D) Representative images and quantification of tumor-infiltrating lymphocytes (TILs) (B), CD8<sup>+</sup> T cells (C), and CD4<sup>+</sup> T cells (D) sorted by CDK5 expression. *a*-SMA, *a*-smooth muscle actin; GZMB, granzyme B; IFN  $\gamma$ , interferon  $\gamma$ ; NK, natual killer; PBRM1, polybromo 1; PD-1, programmed death-ligand 1; Tresg, regulatory T cells. p-values, Kruskal-Wallis H test. (*Continued to the next page*)

(p < 0.01) (Fig. 3D).

To further investigate the immunologic state of tumorinfiltrating T cells, we utilized flow cytometry on resected nephrectomy samples from our ZS-HRRCC cohort (Fig. 3E). Consistently, CDK5 was negatively associated with CD8<sup>+</sup> T cells (Spearman's  $\rho$ =-0.49, p < 0.001) (Fig. 3F), and positively linked with CD4<sup>+</sup> T cells (Spearman's  $\rho$ =0.51, p < 0.001) (Fig. 3G). The CD8<sup>+</sup> T cells and CD4<sup>+</sup> T cells percentage among total T cells were enhanced in low CDK5 and high CDK5 groups, respectively (p < 0.05) (Fig. 3F and G).

#### 3. T cell dysfunction and exhaustion in high-CDK5 tumors

T cells are crucial anti-tumor mediators that identify and react to tumor-expressed antigens, and they have proved indispensable for cancer immunotherapy. Nonetheless, T cells are not always as anticipated as expected against cancer. T cells attain a dysfunctional or fatigued state defined by sustained expression of inhibitory receptors and a transcriptional state distinct from that of functioning effector or memory T cells. Therefore, flow cytometry was used to evaluate the expression of granzyme B (GZMB) and programmed death-1 (PD-1) on CD8<sup>+</sup> and CD4<sup>+</sup> T cells in samples from our



**Fig. 3.** (*Continued from the previous page*) (E-G) Representative images of flow cytometry and the association between CD8<sup>+</sup> T cells (F) or CD4<sup>+</sup> T cells (G), and CDK5 expression in the ZS-HRRCC cohort.  $\rho$  and p-values, Spearman's rank-order correlation. \*p < 0.05, \*\*p < 0.01; ns, not significant.

ZS-HRRCC cohort. Although the amount of CD8<sup>+</sup> T cells was reduced in individuals with high CDK5 expression (Fig. 3C and F), a positive connection was identified between GZMB<sup>+</sup> CD8<sup>+</sup> T cells/total T cells and CDK5 (Spearman's=-0.51, p < 0.001) (Fig. 4A). Moreover, PD-1<sup>+</sup> CD4<sup>+</sup> T cells/total T cells were strongly linked with CDK5 (Spearman's=0.44, p < 0.001) (Fig. 4A).

0.001) (Fig. 4B). A significant positive connection was identified between Eomesodermin (EOMES; Spearman's=0.52, p < 0.001) (Fig. 5B), lymphocyte activating gene 3 (LAG3; Spearman's=0.50, p < 0.001) (Fig. 5C), T-cell immunoreceptor with Ig and ITIM domains (TIGIT; Spearman's=0.53, p < 0.001) (Fig. 5D), T cell factor 1 (TCF1; Spearman's=0.30, p=0.060)



Fig. 4. Cyclin-dependent kinase 5 (CDK5) is associated with T cell dysfunction and regulatory T cells (Tregs) infiltration in renal cell carcinoma (RCC). (A-D) Gating strategy of granzyme B (GZMB) CD8<sup>+</sup> T cells (A), GZMB<sup>+</sup>CD8<sup>+</sup> T cells (B), and Tregs (C), and their association with CDK5 in Zhongshan High-risk Localized Renal Cell Carcinoma (ZS-HRRCC) cohort by flow cytometry. p and p-values, Spearman's rank-order correlation. (D) Representative images and quantification of Tregs by immunohistochemistry. p and p-values, Spearman's rank-order correlation. (Continued to the next page)



**Fig. 4.** (*Continued from the previous page*) (E) Association between transforming growth factor β1 (TGF-β1) expression and CDK5 in the The Cancer Genome Atlas Kidney Renal Clear Cell Carcinoma (TCGA-KIRC) cohort. ρ and p-values, Spearman's rank-order correlation. (F) Volcano plot of Gene Set Enrichment Analysis of Gene Ontology pathways between high and low CDK5 samples. (G) Waterfall plot displaying genomic mutations ranked by CDK5 expression in the JAVELIN-101 cohort. p-values, chi-square test. \*p < 0.05. ARID1A, AT-Rich Interaction Domain 1A; ATM, ataxia-telangiectasia mutated; ATR, ataxia telangiectasia and Rad3-related; BAP1, BRCA1 associated protein 1; HRR, homologous recombination repair; MLH, MutL homolog; MSH, MutS homolog; mTOR, mammalian target of rapamycin; PBRM1, polybromo 1; PIK3CA, phosphatidylinositol-4,5-bisphosphate 3-kinase, catalytic subunit alpha; PTEN, phosphatase and tensin homolog; RICTOR, RPTOR independent companion of MTOR complex 2; SETD2, SET domain containing 2, histone lysine methyltransferase; VHL, von Hippel-Lindau tumor suppressor.



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0.1	No. of patients						p-value for
Subgroup	TKI+I0	TKI			HR (95% CI)	p-value	interaction
PD-1							
High	165	197	нн		0.528 (0.387-0.719)	< 0.001	0.030
Low	189	175	<b>H-8-</b>	-1	0.810 (0.606-1.082)	0.154	
PD-L1							
High	173	188	H		0.628 (0.465-0.849)	0.002	0.822
Low	181	184	нн		0.678 (0.506-0.909)	0.009	
CD8A							
High	168	195	нн		0.515 (0.377-0.703)	< 0.001	0.021
Low	186	177	<b>H-8-</b>	-1	0.818 (0.613-1.091)	0.171	
CD4							
High	165	197	H		0.594 (0.442-0.800)	0.001	0.281
Low	189	175	H		0.733 (0.544-0.988)	0.042	
GZMB							
High	161	202	нн		0.528 (0.389-0.717)	< 0.001	0.038
Low	193	170	<b>H-8-</b>	-1	0.810 (0.604-1.087)	0.160	
GZMK							
High	166	197	H		0.566 (0.414-0.775)	< 0.001	0.144
Low	188	175	H		0.736 (0.553-0.978)	0.034	
CDK5							
High	232	249	H		0.702 (0.546-0.902)	0.006	0.394
Low	122	123	⊢■→		0.564 (0.385-0.827)	0.003	
RFscore							
High	87	79	<b>⊢</b>		1.077 (0.720-1.610)	0.719	0.009
Low	267	293	H		0.551 (0.430-0.706)	< 0.001	
			<del>1 1</del>		r		
			0 0.5	1 1.5 2 2.	.5		

**Fig. 6.** An integrated risk score for tyrosine kinase inhibitor (TKI)+immunotherapy (IO) benefit prediction. (A) Variable importance of random forest model parameters, including cyclin-dependent kinase 5 (CDK5), programmed death-1 (PD-1), programmed death-ligand 1 (PD-L1), CD8A, CD4, granzyme B (GZMB), and granzyme K (GZMK). (B) The Cox regression model was used to calculate hazard ratio (HR) and 95% confidence interval (CI) of random forest model parameters. HR < 1 indicates better survival with TKI+IO therapy. The cutoff of CDK5 expression was 75%. The cutoffs of the rest of gene expression were median values. HR > 1 indicates better survival with the TKI monotherapy. (*Continued to the next page*)

В



**Fig. 6.** (*Continued from the previous page*) (C, D) Progression-free survival analysis of advanced renal cell carcinoma with TKI+IO therapy according to risk score in JAVELIN 101 cohort (C) and Zhongshan Metastatic Renal Cell Carcinoma (ZS-MRCC) cohort (D). p-value, Kaplan-Meier analysis, and log-rank test. (E) Kaplan-Meier analysis of advanced RCC with TKI+IO or TKI, in different risk score subgroups. \*\*\*p < 0.001.

(Fig. 5E), and CDK5. The expression of IHC markers positive cells were normalized to tumor-infiltrating lymphocytes (Fig. 5A). These results indicated a potential correlation between CDK5 and T cell exhaustion mechanisms.

#### 4. Tregs infiltration in high-CDK5 tumors

Immunosuppressive tumor-infiltrating cells, such as Tregs and macrophages, are putative regulatory mechanisms for T cell exhaustion. The relationship between CDK5 and Treg and macrophage infiltration was examined. There was a positive correlation between Tregs (CD4(+) CD25(+) CD127(-/low) cells) and CDK5 levels (evaluated by flow cytometry, Spearman's  $\rho=0.38$ , p=0.02) (Fig. 4C), which was confirmed by formalin-fixed paraffin-embedded tissues (FoxP3 evaluated by IHC, Spearman's  $\rho$ =0.30, p=0.06) (Fig. 4D). Probably due to the relatively limited cohort size, the p-value of IHC samples was close but not statistically significant. Macrophage infiltration showed no correlation. Macrophages could be polarized into M1- or M2-macrophages under specific TME conditions. Therefore, immunohistochemistry was used to detect M1- or M2-macrophages in the ZS-HRCC cohort. Neither M1 nor M2 macrophages exhibited significant changes in samples with high levels of CDK5. Tregs inhibit the activity of cytotoxic T cells in the TME by the production of transforming growth factor  $\beta$  (TGF- $\beta$ ) [21]. TGF would achieve this by inhibiting the expression of cytolytic gene products, such as granzymes B (GZMB) and K, perforin, FasL, and IFN- $\gamma$ , from cytotoxic T cells. Spearman analysis showed that the expression of TGF- $\beta$  was associated with CDK5 expression (Fig. 4E). Besides, through Gene Set Enrichment Analysis, we found that negative regulation of leukocyte proliferation, Tregs differentiation, negative regulation of leukocyte mediated immunity, and negative regulation of T cell-mediated immunity pathways were enriched in high-CDK5 samples (Fig. 4F).

# 5. Correlation between CDK5 and somatic mutations in RCC

A summary of the chromosomal mutations ranked by CDK5 expression for the JAVELIN-101 cohort was compiled (Fig. 4G). Frequent mutations in localized RCC were observed in advanced RCC, including VHL (von Hippel-Lindau tumor suppressor, 55%), PBRM1 (32%), and SETD2 (SET domain containing 2, histone lysine methyltransferase, 25%). Only mammalian target of rapamycin (mTOR) mutation demonstrated a significant relationship with CDK5 expression (p < 0.05) (Fig. 4G).

#### 6. Risk model construction and contribution of components

The latest EAU Guidelines for RCC recommended TKI+IO combinations as standard first-line therapy and the PFS of

the JAVELIN 101 clinical trial suggested that TKI+IO is a preferred option for mRCC patients. However, the therapeutic benefits of TKI+IO varied by individual. Typically, there is always a portion of patients who responded unfavorably to TKI+IO therapy. Thus, it is necessary to build a model that can determine which subgroup responds best or worse to TKI+IO treatment. Random forest, one of the most prominent machine learning algorithms, was implemented. The expression of CDK5, PD-1, PD-L1, CD8A, CD4, GZMB, and GZMK were enrolled as the parameters for model construction. The contribution of each parameter to the final model was further investigated (Fig. 6A). The predictive value of our model was subsequently validated by Kaplan-Meier. The cutoff was set at 75%. The results indicate that patients with a low signature score in our model have a longer PFS when treated with TKI+IO combination therapy (p < 0.001) (Fig. 6C). This model was subsequently verified in our ZS-MRCC cohort (p=0.002) (Fig. 6D).

Furthermore, TKI monotherapy is an alternative first-line treatment for mRCC patients. Application of our model in mRCC to PFS data from JAVELIN Renal 101 showed in the low RFscore arm ( $\leq 75\%$  signature score) TKI+IO combination therapy was associated with a trend toward longer PFS (HR, 0.55; 95% CI, 0.43 to 0.706; p=0.009) (Fig. 6B), whereas in the high RFscore TKI+IO and TKI alone did not differentiate PFS (HR, 1.077; 95% CI, 0.720 to 1.610; p=0.719). The random forest model showed a better function in predicting the prognosis of TKI+IO against TKI monotherapy for mRCC patients. Ultimately, Kaplan-Meier validated the predictive value of our model (Fig. 6E).

# Discussion

From the previous results of our center, patients with high CDK5 expression had no statistically significant trend toward better OS than those with low CDK5 expression [22]. It was consistent with our Kaplan-Meier analysis of both entire cohort or M1 patients from TCGA-KIRC cohort. It is possible that the majority of cases enrolled were at an early stage in our previous report. In reality, the most difficult aspect of RCC treatment is the patients with advanced diseases. Firstly, we demonstrated that CDK5 expression serves as a therapeutic prognosticator for patients with mRCC receiving TKI+IO therapy.

Despite the fact that a portion of patients may benefit from TKI+IO treatment, efficiency, and drug resistance remain major challenges. Studies found that tumor-infiltrating cells, as well as cancer cells, are involved in the process of resistance to cancer treatment. However, little is known about the underlying mechanics of these events. Immune responses and immunosurveillance of cancer cells are essential for tumor development and treatment. Immune contexture, which is characterized by the density, composition, function status, and immune cell infiltration, may be a good determinant of tumor growth and therapeutic response.

Extensive gene, mRNA, and protein-level data support a function for CDK5 in human malignancies as well as its potential as a biomarker for prognosis of cancer. In nonsmall cell lung cancer, breast, brain, and cancer patient tissues, elevated CDK5 expression associated with advanced cancer stages, lymph node metastasis, and poor 5-year OS survival, while metastatic-free samples showed lower CDK5 levels [23-27]. Functionally, CDK5 may contribute to oncogenesis via overlapping or common pathways, particularly those associated with the cell cycle and proliferation. Through phosphorylating retinoblastoma (Rb), CDK5 regulates the Rb/E2F pathway [28]. CDK5 has also been linked to the deregulation of signal transducer and activator of transcription 3 (STAT3) in cancer cells [29], and is able to phosphorylate the transcription factor androgen receptor in prostate cancer [30]. In breast cancer cells, CDK5 contributed to TGF-β1-induced epithelial-mesenchymal transition in breast cancer cells. TGF-*β*1 increased the expression of CDK5 and p35, while CDK5 knockdown prevented TGF-β1-induced epithelial-mesenchymal transition. Meanwhile, CDK5 overexpression indicated potential synergy with TGF-β1 in driving epithelial-mesenchymal transition [24]. In lung cancer, blocking CDK5 with inhibitors, siRNA, or the CRISPR-Cas9 system has provided a significant therapeutic advantage, suggesting that CDK5 affects tumor suppressor genes, carcinogenesis, cytoskeletal remodeling, and immunological checkpoints [31].

In medulloblastoma, CDK5 deficiency downregulates PD-L1 by extending the half-life of the IRF2/IRF2BP2 repressor complex, hence promoting T cell responsiveness in medulloblastoma, melanoma, and breast malignancies. Moreover, immunotherapy in combined with CDK5 deletion enhanced anti-tumor immunity [13,14]. Moreover, CDK5 and PD-L1 mRNA co-occurred and were elevated in lung adenocarcinoma, according to TCGA transcriptomic data sets [13]. CDK5 deficiency can increase PD-L1-induced CD4<sup>+</sup> T cells mediated cancer cell death. The disruption of CDK5 activity decreases the production of IL-2 via increasing the activity of histone deacetylases and inhibits the binding of STAT3 to the Foxp3 gene promoter, which increased the population of CD8<sup>+</sup> T cells while Tregs was decreased [14,32,33]. The abnormal activation of CDK5 is correlated with the progression of triple-negative breast cancer (TNBC). CDK5 modulates the E3 ubiquitin ligase activity of peroxisome proliferator-activated receptor y and directly protects ESRP1 from ubiquitin-dependent proteolysis, hence enhancing the

stemness of TNBC cells. Reducing stemness transformation reverses immunosuppressive TME and improves anti–PD-1 treatment efficacy [34]. In pancreatic cancer, the CDK1/2/5 inhibitor dinaciclib reverses IFNG-mediated adaptive tumor immune resistance. This immunochemotherapeutic strategy increases tumor cell apoptosis and boosts CD8<sup>+</sup> T celldependent anti-tumor immunity, in many animal models of pancreatic cancer [12]. Additionally, in mouse model of lung cancer, disruption of CDK5 increases the number of CD3<sup>+</sup>, CD4<sup>+</sup>, and CD8<sup>+</sup> T cells in the spleen and decreases PD-1 expression in CD4<sup>+</sup> and CD8<sup>+</sup> T cells [35]. Consequently, we questioned whether CDK5 regulates TKI+IO sensitivity in RCC by affecting TME components and T cell functions.

In the present study, we first investigated the TME of mRCC via RNA-seq, flow cytometry, and IHC, and verified the presence of T cell dysfunction within tumor tissues. In our ZS-HRRCC cohort, CDK5 expression was negatively associated with CD8<sup>+</sup> T cells (Fig. 3C and F), and positively linked with CD4<sup>+</sup> T cells (Fig. 3D and G). Interestingly, although the amount of CD8<sup>+</sup> T cells was reduced in individuals with high CDK5 expression, a strong positive connection was identified between either GZMB<sup>+</sup> CD8<sup>+</sup> T cells/ total T cells or PD-1<sup>+</sup> CD4<sup>+</sup> T cells/ total T cells, and CDK5 expression (Fig. 4A and B). Moreover, there was a positive correlation between Tregs and CDK5 levels, which was confirmed by flow cytometry (Fig. 4C and D).

Tregs are a subpopulation of immunosuppressive CD4<sup>+</sup> T cells. In established tumors, however, immunosuppressive crosstalk between cancer cells and Tregs suppresses the function of critical effector cells. Expression of CTLA4 by Tregs may also inhibit the suppressive action of CD8<sup>+</sup> T cells, hence initiating immunosuppressive activity in the TME [36]. Through the synthesis of adenosine, cancer cells additionally stimulate the activity of myeloid-derived suppressor cells, which then secrete TGF- $\beta$  for further improvement of Treg immunosuppressive activity [37]. We revealed that CDK5 may regulate T cell exhaustion, either directly or via Tregs, which may result in adverse clinical effects.

In the present study, we identified the CDK5 expression as a novel prognostic factor of PFS for TKI+IO combinations in advanced renal cell carcinoma. Moreover, CDK5 was associated with CD8<sup>+</sup> and CD4<sup>+</sup> infiltration and T-cell exhaustion.

#### **Electronic Supplementary Material**

Supplementary materials are available at Cancer Research and Treatment website (https://www.e-crt.org).

# **Ethical Statement**

The study followed the Declaration of Helsinki and was approved by the Clinical Research Ethics Committee of Zhongshan Hospital, Fudan University (B2021-119). Informed consent was obtained from each participant.

#### **Author Contributions**

Conceived and designed the analysis: Xu X, Wang J. Collected the data: Wang Y, Chen Z. Contributed data or analysis tools: Zhu Y, Guo J. Performed the analysis: Xu X, Wang Y, Chen Z, Wang J. Wrote the paper: Wang J. Statistical analysis: Xu X, Wang Y, Chen Z.

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# **Conflicts of Interest**

Conflict of interest relevant to this article was not reported.

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