



## Original Article

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# Current Trends of Lung Cancer Surgery and Demographic and Social Factors Related to Changes in the Trends of Lung Cancer Surgery: An Analysis of the National Database from 2010 to 2014

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## Purpose

We investigated current trends in lung cancer surgery and identified demographic and social factors related to changes in these trends.

## Materials and Methods

We estimated the incidence of lung cancer surgery using a procedure code-based approach provided by the Health Insurance Review and Assessment Service (<http://opendata.hira.or.kr>). The population data were obtained every year from 2010 to 2014 from the Korean Statistical Information Service (<http://kosis.kr/>). The annual percent change (APC) and statistical significance were calculated using the Joinpoint software.

## Results

From January 2010 to December 2014, 25,687 patients underwent 25,921 lung cancer surgeries, which increased by 45.1% from 2010 to 2014. The crude incidence rate of lung cancer surgery in each year increased significantly (APC, 9.5;  $p < 0.05$ ). The male-to-female ratio decreased from 2.1 to 1.6 (APC, -6.3;  $p < 0.05$ ). The incidence increased in the age group of  $\geq 70$  years for both sexes (male: APC, 3.7;  $p < 0.05$ ; female: APC, 5.96;  $p < 0.05$ ). Furthermore, the proportion of female patients aged  $\geq 65$  years increased (APC, 7.2;  $p < 0.05$ ), while that of male patients aged  $< 65$  years decreased (APC, -3.9;  $p < 0.05$ ). The proportions of segmentectomies (APC, 17.8;  $p < 0.05$ ) and lobectomies (APC, 7.5;  $p < 0.05$ ) increased, while the proportion of pneumonectomies decreased (APC, -6.3;  $p < 0.05$ ). Finally, the proportion of patients undergoing surgery in Seoul increased (APC, 1.1;  $p < 0.05$ ), while the proportion in other areas decreased (APC, -1.5;  $p < 0.05$ ).

## Conclusion

An increase in the use of lung cancer surgery in elderly patients and female patients, and a decrease in the proportion of patients requiring extensive pulmonary resection were identified. Furthermore, centralization of lung cancer surgery was noted.

## Key words

Lung neoplasms, Thoracic surgery, Incidence, Republic of Korea

## Introduction

Lung cancer is the leading cause of cancer death in the world and in Korea [1,2]. In 2012, 1.82 million cases (12.9% of the total number of cancer cases) of lung cancer were diagnosed and it caused 1.6 million deaths worldwide. Interestingly, incidence rate among females in Eastern Asia is somewhat high, despite the low smoking rate [2,3]. In Korea, a total of 22,118 new cases of lung cancer were

reported and the crude rate of lung cancer was 43.9 per 100,000 persons in 2012 [4]. It is estimated that there will be 25,640 new cases of lung cancer and a crude rate of 50.4 per 100,000 persons in 2015 [5]. In the United States, lung cancer survival has already improved and the incidence of localized lung cancer has increased [6,7]. Although surgical resection remains the treatment of choice for early-stage lung cancer, only 22% of new lung cancer cases are localized diseases in Korea [4,8,9].

The survival benefit of low-dose chest computed tomog-

raphy (LDCT) related to a stage shift was reported in 2011 [10]. Additionally, differences in lung cancer incidence, smoking prevalence, and life expectancy patterns between males and females have been reported [1,11,12]. These factors related to population composition and cancer prevention policies can affect the incidence of the disease and treatment modalities.

Korea has abundant health care resources and utilization in terms of the number of hospitals, hospital beds, computed tomography scanners, and consultations with doctors per population [3]. The Korean government has operated National Health Insurance Service (NHIS), which covers over 98% of the Korean population, for over 30 years [13]. Additionally, the Korean Health and Insurance Review and Assessment Service (HIRA) collects reimbursement records from all claims made under NHIS and nation-wide information related to a specific disease, and treatment is available without patient identifiers. However, few studies have investigated national trends in lung cancer surgery, and some that have are out of date [14-17]. Thus, we investigated current trends in lung cancer surgery and identified demographic and social factors related to changes in these trends utilizing the Korean NHIS database from 2010 to 2014.

## Materials and Methods

### 1. Data acquisition

We estimated the incidence of lung cancer surgery using a procedure code-based approach provided by the HIRA (<http://opendata.hira.or.kr>). We assessed the information for lung cancer surgery, which was converted to specific procedure codes. The following surgical procedures with their codes were investigated, mediastinal lymph node dissection in conjunction with pulmonary resection (O1597), pulmonary segmentectomy (O1410), single lobectomy (O1421), bilobectomy (O1422), lobectomy in conjunction with segmentectomy (O1423), sleeve lobectomy (O1424), pneumonectomy (O1431), and sleeve pneumonectomy (O1432). We considered O1597 to be the standard surgical procedure for lung cancer.

All Korean residents have a specific registered number. Population data were obtained on July 1 of every year from 2010 to 2014 from the Korean Statistical Information Service (<http://kosis.kr/>).

### 2. Statistical analysis

We summarized the results using crude rates (the number

of lung cancer surgeries divided by the population in a specific year) of lung cancer surgery and age-specific rates of lung cancer surgery. Age-standardized rates were calculated using the information describing the Korean standard population in 2010. Numerical data from open data are presented with numbers and percentage. Annual percent changes (APC) in incidences, proportions, ratios, and their statistical significance were calculated using the Joinpoint software ver. 4.2.0.2 from the Surveillance Research Program of the United States National Cancer Institute.

## Results

### 1. Incidence rate of lung cancer surgery

From January 2010 to December 2014, 25,687 patients underwent 25,921 lung cancer surgeries. In 2010, 4,150 patients underwent surgery, while in 2014, 6,021 patients underwent surgery, which is an increase of 45.1% (Table 1). The crude incidence rate of lung cancer surgery in each year increased significantly (APC, 9.5;  $p < 0.05$ ).

### 2. Incidence by sex

In 2010, male patients accounted for 67.3% of all lung cancer patients; however, the proportion of female patients increased consistently over the subsequent years. The male-to-female ratio decreased from 2.1 in 2010 to 1.6 in 2014 (APC, -6.3;  $p < 0.05$ ). Although the number of lung cancer surgeries increased in both male and female patients, the proportion according to age group changed depending on sex. The proportion of female patients aged  $\geq 65$  years increased significantly over the study period (APC, 7.2;  $p < 0.05$ ), while the proportion of male patients aged  $< 65$  years decreased significantly (APC, -3.9;  $p < 0.05$ ) (Table 2). The proportion of female patients aged  $< 65$  years tended to increase, while the proportion of male patients aged  $\geq 65$  years tended to decrease over the study period, although these changes were not statistically significant.

### 3. Incidence by age distribution

The age distribution of lung cancer surgeries varied by sex and shifted (Fig. 1). The highest proportion over the study period was observed among individuals aged 60-69 years. The proportion increased in the age group of  $\geq 70$  years for both sexes (male: APC, 3.7;  $p < 0.05$ ; female: APC, 6.0;  $p < 0.05$ ). Female patients were more likely to be younger than male patients. In 2014, the age groups of 60-69 years and

**Table 1.** Trends in lung cancer surgery incidence, Korea, 2010-2014

| Variable  | Year          |               |               |  |  | APC                                     |
|---|---------------|---------------|---------------|--|--|---|
|   | 2010          | 2011          | 2012          | 2013                                       | 2014                                       |   |
| <b>Population</b>   | 49,879,812    | 50,111,476    | 50,345,325    | 50,558,952                                 | 50,763,158                                 | 0.4 <sup>a)</sup>                       |
| <b>Lung cancer incidence</b>                                    | 20,711        | 21,753        | 22,118        | 23,543 <sup>b)</sup>                       | 24,697 <sup>b)</sup>                       | 4.4 <sup>a)</sup>                       |
| Male  | 14,650 (70.7) | 15,167 (69.7) | 15,367 (69.5) | 16,479 <sup>a)</sup> (70.0 <sup>b)</sup> ) | 17,139 <sup>b)</sup> (69.4 <sup>b)</sup> ) | 4.0 <sup>b)</sup> (-0.3)                |
| Female  | 6,061 (29.3)  | 6,586 (30.3)  | 6,751 (30.5)  | 7,064 <sup>b)</sup> (30.0 <sup>b)</sup> )  | 7,558 <sup>b)</sup> (30.6 <sup>b)</sup> )  | 5.2 <sup>a)</sup> (0.8)                 |
| <b>Lung cancer surgery incidence /Lung cancer incidence (%)</b> | 20.0          | 21.4          | 23.5          | 24.1                                       | 24.4                                       | 5.3 <sup>a)</sup>                       |
| <b>Lung cancer surgery incidence (cases)</b>                    | 4,174         | 4,690         | 5,235         | 5,739                                      | 6,083                                      | 10.0 <sup>a)</sup>                      |
| <b>Lung cancer surgery incidence (patients)</b>                 | 4,150         | 4,654         | 5,190         | 5,672                                      | 6,021                                      | 9.9 <sup>a)</sup>                       |
| Male  | 2,795 (67.3)  | 3,044 (65.4)  | 3,289 (63.4)  | 3,580 (63.1)                               | 3,710 (61.6)                               | 7.6 <sup>a)</sup> (-2.1 <sup>a)</sup> ) |
| Female  | 1,355 (32.7)  | 1,610 (34.6)  | 1,901 (36.6)  | 2,092 (36.9)                               | 2,310 (38.4)                               | 14.2 <sup>a)</sup> (3.9 <sup>a)</sup> ) |
| Sex ratio (male/female)   | 2.1           | 1.9           | 1.7           | 1.7  | 1.6  | -6.3 <sup>a)</sup>                      |
| <b>Crude rate of lung cancer surgery (per 100,000)</b>          | 8.3           | 9.3           | 10.3          | 11.2                                       | 11.9                                       | 9.5 <sup>a)</sup>                       |
| Male  | 5.6           | 6.1           | 6.5           | 7.1  | 7.3  | 7.1 <sup>a)</sup>                       |
| Female  | 2.7           | 3.2           | 3.8           | 4.1  | 4.6  | 14.0 <sup>a)</sup>                      |
| <b>Age-standardized rate<sup>e)</sup></b>                       | 8.3           | 9.0           | 9.7           | 10.2                                       | 10.5                                       | 6.1 <sup>a)</sup>                       |

Values are presented as number (%). APC, annual percent change. <sup>a)</sup>Significantly different from zero at (p < 0.05), <sup>b)</sup>Predicted by Jung et al. [5], <sup>c)</sup>Age-standardized rates were standardized using population data based on July 1 in 2010 from the Korean Statistical Information Service.

**Table 2.** Sex distribution in lung cancer surgery incidence, Korea, 2010-2014

| Factor                  | Year              |                   |                   |                   | APC          |   |
|-------------------------|-------------------|-------------------|-------------------|-------------------|--------------|---|
|                         | 2010<br>(n=4,150) | 2011<br>(n=4,654) | 2012<br>(n=5,190) | 2013<br>(n=5,672) |              | 2014<br>(n=6,021)                       |
| <b>Age ≥ 65 yr</b>      |                   |                   |                   |                   |              |   |
| Total                   | 1,939 (46.7)      | 2,130 (45.8)      | 2,488 (47.9)      | 2,773 (48.9)      | 2,953 (49.0) | 11.7 <sup>a)</sup> (1.7)                |
| Male                    | 1,458 (35.1)      | 1,522 (32.7)      | 1,739 (33.5)      | 1,906 (33.6)      | 2,037 (33.8) | 9.3 <sup>a)</sup> (-0.5)                |
| Female                  | 481 (11.6)        | 608 (13.1)        | 749 (14.4)        | 867 (15.3)        | 916 (15.2)   | 17.9 <sup>a)</sup> (7.2 <sup>a)</sup> ) |
| Sex ratio (male/female) | 3.0               | 2.5               | 2.3               | 2.2               | 2.2          | -7.2 <sup>a)</sup>                      |
| <b>Age &lt; 65 yr</b>   |                   |                   |                   |                   |              |   |
| Total                   | 2,211 (53.3)      | 2,524 (54.2)      | 2,702 (52.1)      | 2,899 (51.1)      | 3,068 (51.0) | 8.3 <sup>a)</sup> (-1.5)                |
| Male                    | 1,337 (32.2)      | 1,522 (32.7)      | 1,550 (29.9)      | 1,674 (29.5)      | 1,674 (27.8) | 5.6 <sup>a)</sup> (-3.9 <sup>a)</sup> ) |
| Female                  | 874 (21.1)        | 1,002 (21.5)      | 1,152 (22.2)      | 1,225 (21.6)      | 1,394 (23.2) | 12.0 <sup>a)</sup> (2.0)                |
| Sex ratio (male/female) | 1.5               | 1.5               | 1.3               | 1.4               | 1.2          | -5.0                                    |

Values are presented as number (%). APC, annual percent change. <sup>a)</sup>Significantly different from zero at ( $p < 0.05$ ).

≥70 years accounted over 70% of cases among male patients, while the age groups of 50-59 years and 60-69 years accounted 60% of female patients in 2014.

#### 4. Incidence by resection type

The incidences of segmentectomies (APC, 17.8;  $p < 0.05$ ) and single lobectomies (APC, 7.5;  $p < 0.05$ ) increased significantly over the study period, while the incidence of pneumonectomies decreased significantly (APC, -6.3;  $p < 0.05$ ). The incidence of bilobectomies did not change significantly (APC, -1.2;  $p=0.7$ ). The proportions of segmentectomies (APC, 9.9;  $p=0.1$ ) and single lobectomies (APC, 0.4;  $p=0.5$ ) increased, but this increase was not statistically significant. Otherwise, the proportions of extensive surgeries; namely, bilobectomies (APC, -7.9;  $p < 0.05$ ) and pneumonectomies (APC, -12.5;  $p < 0.05$ ), decreased significantly (Fig. 2).

#### 5. Incidence by regional distribution

The incidence of surgeries performed in the Seoul Metropolitan area (the capital of Korea) and all other areas taken together increased significantly over the study period (Seoul: APC, 11.0;  $p < 0.05$ ; all others together: APC, 8.2;  $p < 0.05$ ). Approximately 60% of the total lung cancer surgeries over the study period were performed in Seoul. The proportion of patients operated on in Seoul increased significantly (APC, 1.1;  $p < 0.05$ ), while the proportion in other areas significantly decreased (APC, -1.5;  $p < 0.05$ ) (Fig. 3).

#### 6. Incidence by hospital size

All lung cancer surgeries were performed in secondary or tertiary hospitals. However, the monthly incidence surgeries in tertiary hospitals increased consistently (APC, 12.5;  $p < 0.05$ ), while the monthly incidence surgeries in secondary hospitals did not change significantly (APC, 3.9;  $p=0.2$ ) (Fig. 4).

## Discussion

Lung cancer is the most common cancer and the leading cause of cancer death in the world [2]. In Korea, lung cancer is the third most common cancer in male patients and the fifth most common in female patients [4]. The age-standardized rate of lung cancer has not increased over the last five years; however, there has been a large change in the incidence pattern according to sex [1]. The incidence rate of lung cancer has declined in males and increased in females in

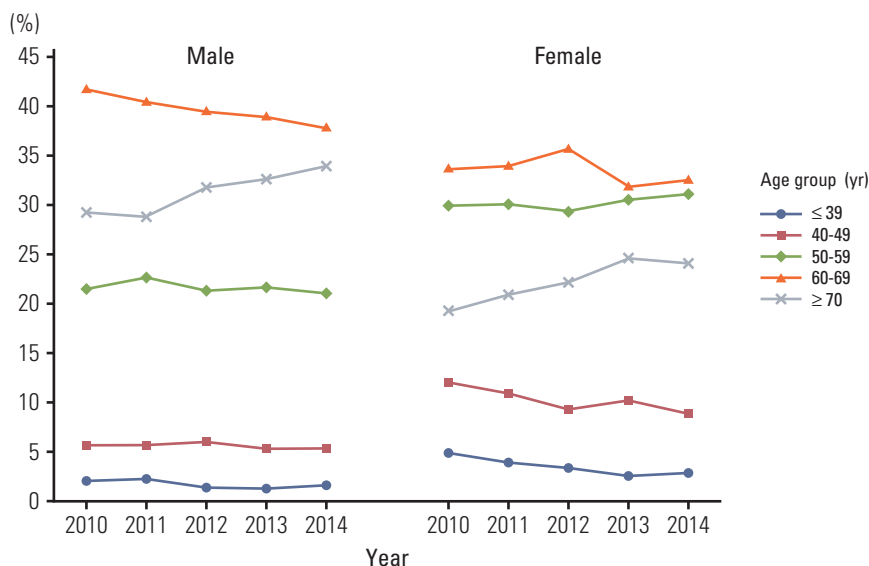


Fig. 1. Trends in age-specific incidence of lung cancer surgery by year.

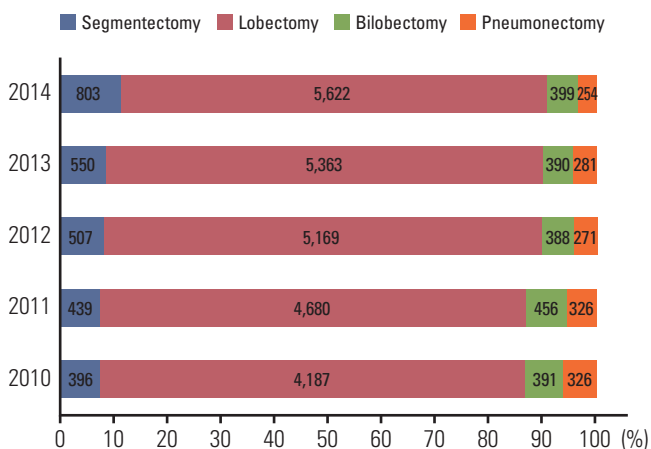


Fig. 2. Trends in type of surgery by year.

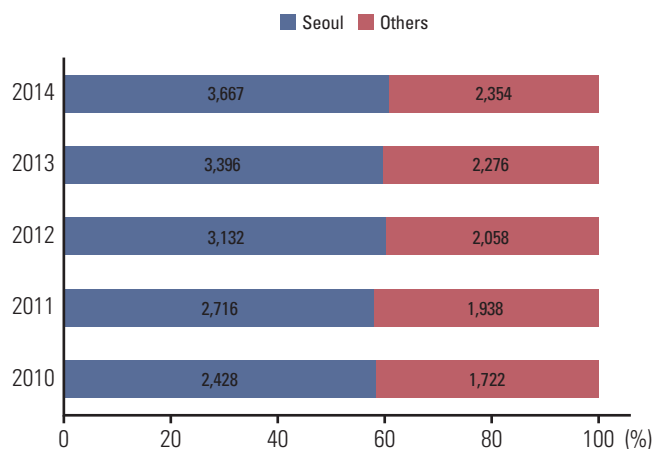
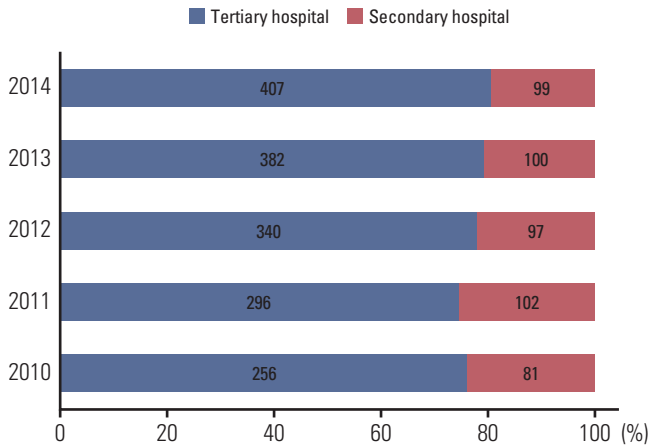


Fig. 3. Trends in area-specific incidence of lung cancer surgery by year.

Korea, as well as in Japan and the United States [7,18]. Consequently, the number of lung cancer surgeries has increased and the composition of patients has changed in terms of sex ratio and age distribution [14,15,17]. In Korea, the incidence of lung cancer was 2.3 times higher in male patients than in female patients in 2012 [4]. However, the male-to-female ratio of lung cancer surgery was 1.6 in 2012. These findings suggest that lung cancer of an operable stage is more likely in female patients than in male patients, which may result from lung cancer screening in conjunction with the distinctive characteristic of lung cancer in non-smoking Asian

females.

Lung cancer is a disease that commonly afflicts the elderly and is the most common cancer in male patients and the third most common in female patients aged  $\geq 65$  years in Korea [1]. In 2012, patients aged  $\geq 65$  years accounted for 75.4% of new lung cancer cases; however, only 47.9% of patients aged  $\geq 65$  years underwent lung cancer surgery in Korea. Aging is a well-known definite risk factor for perioperative morbidities and mortality [19-21]. Some studies have raised concerns about the under-treatment of elderly patients [22,23], and the incidence of lung cancer surgery in elderly



**Fig. 4.** Average monthly case of lung cancer surgery in tertiary hospitals by year.

patients has been increasing [16].

According to the United Nations, the number of persons aged  $\geq 80$  years is expected to increase by a factor 10 from 2000 to 2050 in Korea [24]. Moreover, life expectancy is higher in females than in males [4,12]. Therefore, sophisticated surgical techniques and delicate perioperative management are essential to achieve successful surgical outcomes in elderly patients. Although elderly patients have commonly been considered to include patients aged  $\geq 65$  years [19], an increase in the number of elderly patient can result not only from the population aging, but also from an increase in the number of physically competent elderly patients who can tolerate surgical management [25,26]. A Japanese study revealed that the physical activity of healthy elderly individuals was more youthful by 7.5 years among men and by 10 years among women in the 2002 cohort than in the 1992 cohort [25]. Consequently, the proportion of female elderly patients undergoing lung cancer surgery is expected to increase steadily in the future.

The surgical procedures for lung cancer have changed over the last few years. The use of pneumonectomy for lung cancer treatment has been declining worldwide [14-16]. Our study found a decrease in the use of pneumonectomy and bilobectomy for lung cancer treatment that could be associated with stage shifts following lung cancer screening with LDCT and changes in the dominant histology type of lung cancer [10,27]. A National Lung Cancer Screening Trial found that LDCT screening decreased the proportion of advanced lung cancer [10]. Additionally, the NELSON lung cancer screening study demonstrated that lung cancers detected upon screening were often diagnosed at stage I, and that the diagnosis was made at a more favorable stage in female than male patients [28]. In a LDCT screening study of

1,520 participants conducted by the Mayo Clinic, only two bilobectomies and no pneumonectomies were performed among 53 patients found to have lung cancer upon screening [29]. The International Early Lung Cancer Action Program study reported that 85% of diagnosed lung cancer cases (412/484) were clinical stage I, and that only 10 bilobectomies (2.7%) and no pneumonectomies were performed among 375 lung cancer surgeries [30].

The centralization of lung cancer surgery is a somewhat distinctive phenomenon in Korea [17]. Although the nationwide incidence of lung cancer surgery has increased, this has only be experienced by tertiary hospitals. The case volumes in secondary hospitals have remained steady. Approximately 40% of tertiary hospitals designated by the Korean government (17/44) are located in the Seoul metropolitan area, which is home to 20% of the Korean population. However, over 60% of lung cancer surgeries were performed in tertiary hospitals in the Seoul metropolitan area over the last 5 years. Improvement in geographical accessibility and advanced transportation systems may have contributed to the centralization of lung cancer surgery in Korea. However, the primary reason is that people are opting for the most up-to-date and advanced treatments for lung cancer. Cancer management requires a multidisciplinary approach and involves surgical oncologists, pulmonologists, medical oncologists, radiologic oncologists, diagnostic radiologists, pathologists, and nursing staff as part of a well-organized team. Therefore, centralization to high-volume centers is an inevitable consequence, considering the social needs for efficient and qualified treatment. A positive relationship between hospital or surgeon volume and surgical outcomes has been established. However, efforts should be made to relieve centralization by maintaining the quality of lung cancer care in regional cancer centers to enable effective utilization of national health resources.

It should be noted that the present study has some limitations. Specifically, procedure code O1597 represents any mediastinal lymph node dissection with lung resection, regardless of the primary diagnosis. We could not identify lung cancer surgery without lymph node dissection or sampling. Although data based on the procedure code did not allow classification according to the primary diagnosis, anatomical pulmonary resection and mediastinal lymph node dissection/sampling are the standard surgical methods for primary lung cancer. Therefore, the proportion of cases not involving primary lung cancer and lung cancer surgery without lymph node evaluation was negligible in this investigation. Finally, data describing cancer stage and other co-morbidities were lacking, which influences the selection of the modalities for lung cancer treatment and surgical procedures.

## Conclusion

This is the first study evaluating changes in lung cancer surgery trend in Korea based on national healthcare data. Remarkable findings include an increase in the use of lung cancer surgery in elderly patients and female patients, and a decrease in the proportion of patients requiring extensive pulmonary resection. Centralization of lung cancer surgery was also noted. These changes are expected to continue and should be considered in the reforming of lung cancer management systems in Korea.

## Conflicts of Interest

Conflict of interest relevant to this article was not reported.

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